

# Entropy Software™

## Audit and Compliance Management Workflow

Entropy Software is a risk and performance management software solution to address issues of corporate governance and corporate social responsibility. Composed of numerous workflows and a base system, it allows users to add and integrate any number of risk disciplines into their management process and provides a complete framework for risk across an organisation.

### Overview

Compliance management is a critical component of the internal control process for any business and a prerequisite for compliance with Sarbanes-Oxley and other risk management standards. Effective internal auditing is the cornerstone of continuous improvement. It will enhance your brand and reputation, while helping you to avoid litigation, fines and criminal accountability. It will also help reduce directors' and officers' liability insurance premiums.

The Audit and Compliance Management workflow can help to ensure and demonstrate compliance with internal and external standards, legislations, procedures and codes of conduct while providing instant and real-time visibility of the compliance profile of your entire organisation. Users can identify legal and other requirements, and audit, report and improve compliance across your business.

The Audit and Compliance Management workflow enables the scheduling, planning, and conducting of audits and the subsequent identification of non-conformances and recommendations. Users can easily track non-conformance rectification and corrective and preventive actions (CAPA), while the extensive dashboard and drill-through reporting features, mean you can easily and instantly report audit and compliance information at a site, a business unit or across your entire business.

### Who needs this solution?

- Risk Managers
- Compliance Managers
- Companies needing to perform and report on audits
- Companies aiming to improve corporate governance and CSR
- Companies seeking risk management and compliance improvements

### Workflow – High-Level Features

- Create checklists and scores for compliance and best practice
- Track recommendations and non-conformances
- Link action plans
- Audit performance dashboard
- Regulatory tracking and reminders
- Audit scheduling
- Optional offline data entry

### Base System – High-Level Features

- 100% web interface
- User-centric Dashboard (responsibilities, documents, performance, reports)
- Easy-to-use, intuitive interface
- Secured system access
- Cross-system reporting
- Ad hoc query, search and report building capabilities
- System notifications (email and reports)
- Record referencing features
- 20+ languages
- Configurable modules

### Key Benefits

- Improve compliance management processes
- Provide executive dashboard visibility on enterprise-wide compliance

## Do you need to perform audits to ensure compliance with regulations, standards and operating procedures?

Entropy Software will assist you in ensuring compliance and aid decision-making with instant, real-time dashboard and drill-through risk reporting capabilities.

## Is your current audit approach a time-consuming and reactive process?

It can become a streamlined and proactive function that drives continuous improvement. Entropy Software will help you avoid the penalties of missing reporting deadlines with automatic email notification of action dates, tasks, and reporting requirements.

## Would your business benefit from consistent audit methodologies and checklists?

Enterprise-wide auditing protocols and methodologies ensure data validity and integrity to increase confidence in audit information.

## Are you unable to audit your systems of internal control in accordance with recognised standards?

Entropy Software provides audit templates that meet international standards. Adaptable checklists and methodologies ensure the continued applicability of your audit and compliance assessments, while the quality is enhanced through the selection of your own answer types, scoring and weightings.

## Does your business need to implement, monitor or track non-conformance rectification and CAPA?

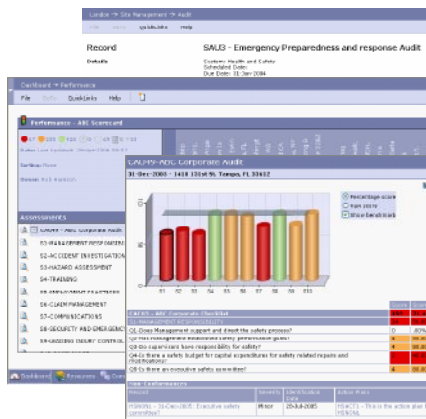
Automatically create non-conformances, recommendations and CAPA from audits, as and when you complete them.

## Do you need to report relative compliance performance across your business?

Compliance statistics are instantly reported, enabling performance to be compared, contrasted and benchmarked. Entropy Software helps improve audit consistency and comparability by standardising your business information.

## Do you have disconnected and overlapping audit systems that make it impossible to view your complete compliance landscape?


Entropy Software offers a single, integrated audit tool for all of your compliance management, internal control and governance needs, while enabling you to view an instant compliance picture of your entire business. Gain control with instant notification of permit exceedences and real-time exception reporting. Simplify audit management and completion with conditional question sets, automatic checklist generation, document control and records management features.



BSI provides risk, compliance and performance management software solutions and services using Entropy Software. Entropy Software is used worldwide by brand-name companies in a variety of markets to reduce risk, aid compliance and continuously improve their corporate performance.

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